

*This brochure supplement provides information about Scott Dunning Walker that supplements the Horizon Financial Services, LLC brochure. You should have received a copy of that brochure. Please contact Scott Dunning Walker, Investment Advisor Representative if you did not receive Horizon Financial Services, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Scott Dunning Walker is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Horizon Financial Services, LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Scott Dunning Walker**

Personal CRD Number: 4594390

Investment Adviser Representative

Horizon Financial Services  
3880 Vest Mill Road, Suite 100  
Winston-Salem, North Carolina, 27103  
(336) 659-7060  
[scott.walker@horizonfs.com](mailto:scott.walker@horizonfs.com)

March 7, 2017

## Item 2: Educational Background and Business Experience

**Name:** Scott Dunning Walker

**Born:** 1963

### Education Background and Professional Designations:

#### Education:

BA Business Management, North Carolina State University - 1987

#### Business Background:

07/2011 - Present	Investment Advisor Representative Horizon Financial Services, LLC
06/2011 - Present	Fixed Insurance Sales New Horizon Financial Services, Inc.
06/2011-09/2011	Investment Advisor Representative Regal Investment Advisors, LLC
07/2010-06/2011	Unemployed
06/2003-07/2010	Financial Specialist Wachovia Securities, LLC

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### Item 4: Other Business Activities

Scott Dunning Walker is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation. Horizon Financial Services, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Horizon Financial Services, LLC in their capacity as an insurance agent.

#### Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, Scott Dunning Walker does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Horizon Financial Services, LLC.

#### Item 6: Supervision

As a representative of Horizon Financial Services, LLC, Scott Dunning Walker works closely with the supervisor, John Joseph Brais, Managing Member, and all advice provided to clients is reviewed by the supervisor prior to implementation. Scott Dunning Walker's contact information is on the cover page of this disclosure document.

#### Item 7: Requirements For State Registered Advisers

*This disclosure is required by North Carolina securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Scott Dunning Walker has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;

- d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
- B. Scott Dunning Walker has NOT been the subject of a bankruptcy petition at any time.